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PATENT 3273-0187PUS1

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Shinya NAGANO, et al. Conf.:

Conf.: 3934

Appl. No.:

10/807,284

Group:

1764

Filed:

March 24, 2004

Examiner: Unassigned

For:

ADAMANTANETRICARBOXYLIC ACID

DERIVATIVES

INFORMATION DISCLOSURE STATEMENT

(SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

February 22, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

II. COPIES (check at least one box)

- a.

 Copies of cited U.S. patents and patent application publications are not included. Copies of foreign patent documents and non-patent literature are included.
- b. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. DOCUMENTS IN THE ENGLISH LANGUAGE

The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy.

b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

C. MENGLISH LANGUAGE SEARCH REPORT

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

d. OTHER

The following additional information is provided for the Examiner's consideration.

FEES

IV.

THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b):

(check one box)

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- a. within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's.)
- b. within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.
- d. before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required.

 In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
- V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

- a. \square No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. \$1.17(p).
- b. \boxtimes See the statement below. No fee is required.

VI.	STAT	EMENT	UNDER 37 C.F.R. § 1.97(e) (check only one box)
	The	under	signed hereby states that
	a.		each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or
	b.		each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
	c.		no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
	d.		Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this

statement.

VII. PAYMENT OF FEES (check or	ne box)						
☐ A check in the amo C.F.R. § 1.17(p) is fee.	ount of \$180.00 as required by 37 s enclosed for the above-identified						
amount required by	osit Account No. 02-2448 in the 37 C.F.R. § 1.17(p) for the abovepaper is submitted in triplicate.						
$oxed{\boxtimes}$ No fee is required.							
he/she is requested to condetermined that this IDS has the PTO is requested to consi	ny questions concerning this IDS, ntact the undersigned. If it is been filed under the wrong rule, der this IDS under the proper rule to Deposit Account No. 02-2448.						
If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.							
R	espectfully submitted,						
В	BIRCH, STEWART, KOLASCH & BIRCH, LLP						
В	Raymond C. Stewart, #21,066						
RCS/kdm F	P.O. Box 747 Calls Church, VA 22040-0747 703) 205-8000						
Attachment(s): PTO-1449							

 \boxtimes Documents

☐ Fee

☐ Other:

 $oxed{\boxtimes}$ Foreign Search Report

O1PE 302 20

Form PTO-1449

INFORMATION DISCLOSURE CITATION TO AN APPLICATION

ATTY.	DOCK	ET NO	
327	3 - 0	187	PUS1

APPLICATION NO. 10/807,284

APPLICANT

INFORMATION DISCLOSURATE CITATION IN AN APPLICATION					Shinya NAGANO, et al.				
	(U	se several sheets	if necessar	у)	March 24, 2004		GROUP 1764		
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